SEC Form 4

**FORM 4**

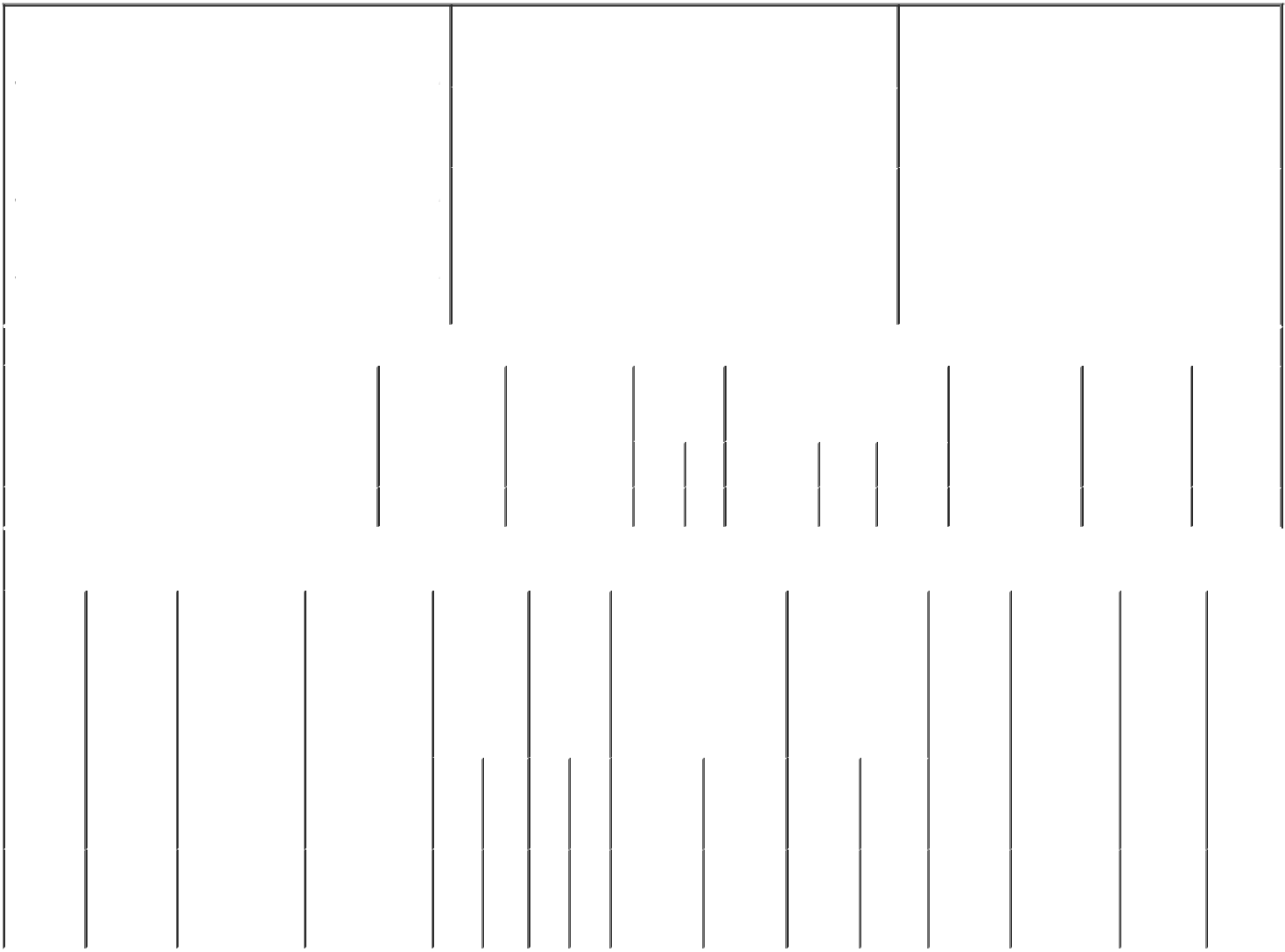
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).



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| **UNITED STATES SECURITIES AND EXCHANGE COMMISSION** |  |  |  |  |  |
| Washington, D.C. 20549 |  |  |  |  |  |
|  | OMB APPROVAL | | |  |
|  |  |  |
| **STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP** |  |  |  |  |  |
|  | OMB Number: | 3235-0287 |  |  |
|  | Estimated average burden | | |  |
|  |  |  |
| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |  | hours per response: | 0.5 |  |  |
|  |  |  |  |  |
|  |  |  |  |  |



or Section 30(h) of the Investment Company Act of 1940



|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  | 1. Name and Address of Reporting Person\* | | | | | | | |  |  | 2. Issuer Name **and** Ticker or Trading Symbol | | | | | | | | |  |  |  | 5. Relationship of Reporting Person(s) to Issuer | | | | | | | | | | | | |  |
|  |  |  | [Xu Tony](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001832617) | | |  |  |  |  |  | [Meta Platforms, Inc.](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001326801) [ META ] | | | | | | | | |  |  |  | (Check all applicable) | | | | | | |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X Director | | | | | | |  |  | 10% Owner | | | |  |
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|  |  |  |  |  |  |  |  |  |  |  | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | |  |  |  |  |  |  |  |  |
|  |  |  | (Last) |  | (First) | | (Middle) | |  |  |  |  |  |  |  |  | below) | |  |  |  |  | below) |  |  |  |  |
|  |  |  | C/O META PLATFORMS, INC. | | | |  |  | 02/15/2023 | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  |  |  |  |  |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | |  |  | 6. Individual or Joint/Group Filing (Check Applicable | | | | | | | | | | | | |  |
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|  |  |  | MENLO PARK CA | | | | 94025 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Form filed by More than One Reporting | | | | | | | | | |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Person | |  |  |  |  |  |  |  |  |  |
|  |  |  | (City) |  | (State) | | (Zip) | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  |  |  |  |  |  | **Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned** | | | | | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |
|  |  | |  | |  | |  |  | | |  |  | |  |  |  |  |  |  | | | |  |  |  |  |  | |  |  |  | |  |  | |  |
|  | **1. Title of Security (Instr. 3)** | | | | | | **2. Transaction** | | | | | **2A. Deemed** | | **3.** | |  |  | **4. Securities Acquired (A) or** | | | | | | |  | **5. Amount of** | | | | **6. Ownership** | | | **7. Nature** | | |  |
|  |  |  |  |  |  |  | **Date** | |  |  |  | **Execution Date,** | |  | **Transaction** | | | **Disposed Of (D) (Instr. 3, 4 and** | | | | | | |  | **Securities** | | | | **Form: Direct** | | | **of Indirect** | | |  |
|  |  |  |  |  |  |  | **(Month/Day/Year)** | | | | | **if any** | |  | **Code (Instr.** | | | **5)** |  |  |  |  |  |  |  | **Beneficially** | | | | **(D) or Indirect** | | | **Beneficial** | | |  |
|  |  |  |  |  |  |  |  |  |  |  |  | **(Month/Day/Year) 8)** | | | | |  |  |  |  |  |  |  |  |  | **Owned Following** | | | | **(I) (Instr. 4)** | | | **Ownership** | | |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Reported** | |  |  |  |  |  | **(Instr. 4)** | | |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Code V** | |  | **Amount** | | **(A) or** | **Price** | | | |  | **Transaction(s)** | | | |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **(D)** |  | **(Instr. 3 and 4)** | | | |  |  |  |  |  |  |  |
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|  | Class A Common Stock | | | | | | 02/15/2023 | | | | |  |  |  | M | |  | 189(1) | | A | $0 | | |  | 1,161 | | | | |  |  | D |  |  |  |  |
|  |  |  |  |  |  | **Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned** | | | | | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  | **(e.g., puts, calls, warrants, options, convertible securities)** | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | |  |  |  |  |  |  |  | |  |  |  |  | |  | | |  |  |  |  |  |  |  | |  |  |  | |  |  |  | | |  |
|  | **1. Title of** | | | **2.** | | **3. Transaction** | **3A. Deemed** | | **4.** | |  |  | **5. Number** | **6. Date Exercisable and** | | | | | | **7. Title and** |  |  |  |  | **8. Price of** | | | **9. Number of** | | | | **10.** | **11. Nature** | | |  |
|  | **Derivative** | | | **Conversion** | | **Date** | **Execution Date,** | | **Transaction** | | | | **of** | **Expiration Date** | | | |  |  | **Amount of** |  |  |  |  | **Derivative** | | | **derivative** | |  |  | **Ownership** | **of Indirect** | | |  |
|  | **Security** | | | **or Exercise** | | **(Month/Day/Year)** | **if any** | | **Code (Instr.** | | | | **Derivative** | **(Month/Day/Year)** | | | |  |  | **Securities** |  |  |  |  | **Security** | | | **Securities** | |  |  | **Form:** | **Beneficial** | | |  |
|  | **(Instr. 3)** | | | **Price of** | |  | **(Month/Day/Year)** | | **8)** | |  |  | **Securities** |  |  |  |  |  |  | **Underlying** |  |  |  |  | **(Instr. 5)** | | | **Beneficially** | | | | **Direct (D)** | **Ownership** | | |  |
|  |  |  |  | **Derivative** | |  |  |  |  |  |  |  | **Acquired** |  |  |  |  |  |  | **Derivative Security** | | | | |  |  |  | **Owned** | |  |  | **or Indirect** | **(Instr. 4)** | | |  |
|  |  |  |  | **Security** | |  |  |  |  |  |  |  | **(A) or** |  |  |  |  |  |  | **(Instr. 3 and 4)** | | | | |  |  |  | **Following** | |  |  | **(I) (Instr. 4)** |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **Disposed** |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Reported** | |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **of (D)** |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Transaction(s)** | | | |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **(Instr. 3, 4** |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **(Instr. 4)** | |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **and 5)** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Amount** | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **or** | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Number** | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Date** | | | **Expiration** | | |  | **of** | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **Code V** | | |  | **(A) (D)** | **Exercisable** | | | **Date** | | | **Title** | **Shares** | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Restricted | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Stock | | | (2) | |  |  |  |  |  |  |  |  | (3) | | |  | (3) |  | Class A | 189 | | |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Units | | | 02/15/2023 |  |  |  | M | |  | 189 |  |  | Common |  | $0 | |  | 2,268 | |  |  | D |  |  |  |  |
|  | (RSU) | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Stock |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | (Class A) | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  | **Explanation of Responses:** | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1. Represents the number of shares that were acquired in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II. | | | | | | | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 2. Each RSU represents a contingent right to receive 1 share of the Issuer's Class A Common Stock upon settlement. | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 3. The RSUs vest quarterly as to 1/16th of the total RSUs, beginning on May 15, 2022, subject to continued service through each vesting date. | | | | | | | | | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | s/ Erin Guldiken, attorney-in- | | | | | | | | | 02/17/2023 | | | |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | fact for Tony Xu | | | | | |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | \*\* Signature of Reporting Person | | | | | | | | | Date | |  |  |  |  |  |  |  |
|  | Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | | | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | \* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v). | | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |



* Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**