SEC Form 4

**FORM 4**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **UNITED STATES SECURITIES AND EXCHANGE COMMISSION** |  |  |  |  |  |
| Washington, D.C. 20549 |  |  |  |  |  |
|  | OMB APPROVAL | | |  |
|  |  |  |
| **STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP** |  |  |  |  |  |
|  | OMB Number: | 3235-0287 |  |  |
|  | Estimated average burden | | |  |
|  |  |  |
| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |  | hours per response: | 0.5 |  |  |
|  |  |  |  |  |
|  |  |  |  |  |



or Section 30(h) of the Investment Company Act of 1940



1. Name and Address of Reporting Person\*

[Spencer Michael L](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001706106)

(Last) (First) (Middle)

10000 WEHRLE DRIVE

2. Issuer Name **and** Ticker or Trading Symbol

[Integer Holdings Corp](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001114483) [ ITGR ]

3. Date of Earliest Transaction (Month/Day/Year)

12/28/2018

1. Relationship of Reporting Person(s) to Issuer (Check all applicable)

|  |  |  |  |
| --- | --- | --- | --- |
|  | Director | 10% Owner |  |
| X | Officer (give title | Other (specify |  |
| below) | below) |  |
|  |  |

Chief Ethics & Compliance Of

|  |  |  |  |
| --- | --- | --- | --- |
| (Street) | |  |  |
|  | CLARENCE | NY | 14031 |
|  |  |  |  |
|  | (City) | (State) | (Zip) |

4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable

Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **1. Title of Security (Instr. 3)** | | | **2. Transaction** | | **2A. Deemed** | | **3.** | |  | **4. Securities Acquired (A) or** | | | |  |  | **5. Amount of** | | **6. Ownership** | **7. Nature** | |  |  |
|  |  |  |  | **Date** |  | **Execution Date,** | |  | **Transaction** | | **Disposed Of (D) (Instr. 3, 4 and** | | | |  |  | **Securities** | | **Form: Direct** | **of Indirect** | |  |  |
|  |  |  |  | **(Month/Day/Year)** | | **if any** | |  | **Code (Instr.** | | **5)** |  |  |  |  |  | **Beneficially** | | **(D) or Indirect** | **Beneficial** | |  |  |
|  |  |  |  |  |  | **(Month/Day/Year) 8)** | | | |  |  |  |  |  |  |  | **Owned Following** | | **(I) (Instr. 4)** | **Ownership** | |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | **Reported** | |  | **(Instr. 4)** | |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **Code** | **V** | **Amount** | **(A) or** | **Price** | |  |  | **Transaction(s)** | |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | **(D)** |  |  | **(Instr. 3 and 4)** | |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  | | |  |  |  |  |  |
|  | Common Stock | |  | 12/28/2018 | |  |  |  | M |  | 356 | A | (1) |  |  | 4,335 | | | D |  |  |  |  |
|  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  | | |  |  |  |  |  |
|  | Common Stock | |  | 12/28/2018 | |  |  |  | M |  | 891 | A | (1) |  |  | 5,226 | | | D |  |  |  |  |
|  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  | | |  |  |  |  |  |
|  | Common Stock | |  | 12/28/2018 | |  |  |  | M |  | 752 | A | (1) |  |  | 5,978 | | | D |  |  |  |  |
|  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  | | |  |  |  |  |  |
|  | Common Stock | |  | 12/28/2018 | |  |  |  | M |  | 498 | A | (1) |  |  | 6,476 | | | D |  |  |  |  |
|  |  | |  |  | |  |  |  |  |  |  |  |  |  | |  | | |  |  |  |  |  |
|  | Common Stock | |  | 12/28/2018 | |  |  |  | F |  | 610 | D | $76.03 | | | 5,866 | | | D |  |  |  |  |
|  |  |  |  | | | | | |  | | | | |  | | |  |  |  |  |  |  |  |
|  |  |  | **Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned** | | | | | | | | | | | | | | |  |  |  |  |  |  |
|  |  |  |  | **(e.g., puts, calls, warrants, options, convertible securities)** | | | | | | | | | | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  | | | |  |  |  |  | | |  | |  | |  |  |
|  | **1. Title of** | **2.** | **3. Transaction** | **3A. Deemed** | **4.** |  | **5. Number** | **6. Date Exercisable and** | | | | **7. Title and** |  |  | **8. Price of** | | | **9. Number of 10.** | | **11. Nature** | |  |  |
|  | **Derivative** | **Conversion** | **Date** | **Execution Date,** | **Transaction** | | **of** | **Expiration Date** | | |  | **Amount of** |  |  | **Derivative** | | | **derivative** | **Ownership** | **of Indirect** | |  |  |
|  | **Security** | **or Exercise** | **(Month/Day/Year)** | **if any** | **Code (Instr.** | | **Derivative** | **(Month/Day/Year)** | | |  | **Securities** |  |  | **Security** | | | **Securities** | **Form:** | **Beneficial** | |  |  |
|  | **(Instr. 3)** | **Price of** |  | **(Month/Day/Year)** | **8)** |  | **Securities** |  |  |  |  | **Underlying** |  |  | **(Instr. 5)** | | | **Beneficially** | **Direct (D)** | **Ownership** | |  |  |
|  |  | **Derivative** |  |  |  |  | **Acquired** |  |  |  |  | **Derivative Security** | | |  |  |  | **Owned** | **or Indirect** | **(Instr. 4)** | |  |  |
|  |  | **Security** |  |  |  |  | **(A) or** |  |  |  |  | **(Instr. 3 and 4)** | | |  |  |  | **Following** | **(I) (Instr. 4)** |  |  |  |  |
|  |  |  |  |  |  |  | **Disposed** |  |  |  |  |  |  |  |  |  |  | **Reported** |  |  |  |  |  |
|  |  |  |  |  |  |  | **of (D)** |  |  |  |  |  |  |  |  |  |  | **Transaction(s)** | |  |  |  |  |
|  |  |  |  |  |  |  | **(Instr. 3, 4** |  |  |  |  |  |  |  |  |  |  | **(Instr. 4)** |  |  |  |  |  |
|  |  |  |  |  |  |  | **and 5)** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **Amount** | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **or** | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  | **Number** | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  | **Date** | | **Expiration** | |  | **of** | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  | **Code V** |  | **(A) (D)** | **Exercisable** | | **Date** | | **Title** | **Shares** | |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Restricted | (1) |  |  |  |  |  | (2) | |  | (2) |  | 356 |  |  |  |  |  |  |  |  |  |  |
|  | Stock | 12/28/2018 |  | M |  | 356 |  | Common |  |  | $0.00 | | 0 | D |  |  |  |  |
|  | Units |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Restricted | (1) |  |  |  |  |  | (3) | |  | (3) |  | 752 |  |  |  |  |  |  |  |  |  |  |
|  | Stock | 12/28/2018 |  | M |  | 752 |  | Common |  |  | $0.00 | | 752 | D |  |  |  |  |
|  | Units |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Restricted | (1) |  |  |  |  |  | (4) | |  | (4) |  | 498 |  |  |  |  |  |  |  |  |  |  |
|  | Stock | 12/28/2018 |  | M |  | 498 |  | Common |  |  | $0.00 | | 997 | D |  |  |  |  |
|  | Units |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Restricted | (1) |  |  |  |  |  | (5) | |  | (5) |  | 891 |  |  |  |  |  |  |  |  |  |  |
|  | Stock | 12/28/2018 |  | M |  | 891 |  | Common |  |  | $0.00 | | 891 | D |  |  |  |  |

Units

**Explanation of Responses:**

1. Restricted stock units convert into common stock on a one-for-one basis.
2. On December 14, 2015, the reporting person was granted 1,066 restricted stock units, vesting in three equal installments beginning on December 30, 2016.
3. On February 6, 2017, the reporting person was granted 2,255 restricted stock units, vesting in three equal installments beginning on December 29, 2017.
4. On January 31, 2018, the reporting person was granted 1,495 restricted stock units, vesting in three equal installments beginning on December 28, 2018.
5. As reported on a Form 4 dated March 9, 2018, the reporting person earned 1,782 restricted stock units, vesting in two substantially equal installments on December 28, 2018 and January 3, 2020.

**Remarks:**

/s/ Mark Zawodzinski as



attorney-in-fact for Michael L. 01/02/2019



Spencer.



\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

* Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**